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| APPLICATION NO.                  | FILING DATE                          | FIRST NAMED INVENTOR      | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|----------------------------------|--------------------------------------|---------------------------|---------------------|------------------|--|
| 10/521,184                       | 05/13/2005                           | Stuart Michael Ruan Jones | 102792-394(11026P1) | 8507             |  |
|                                  | 7590 03/26/2007<br>LAUGHLIN & MARCUS | EXAMINER                  |                     |                  |  |
| 875 THIRD AV                     |                                      | HOGAN, JAMES SEAN         |                     |                  |  |
| 18TH FLOOR<br>NEW YORK, NY 10022 |                                      |                           | ART UNIT            | PAPER NUMBER     |  |
|                                  |                                      | 3752                      |                     |                  |  |
|                                  | ·                                    |                           |                     |                  |  |
| SHORTENED STATUTOR               | Y PERIOD OF RESPONSE                 | MAIL DATE                 | DELIVERY MODE       |                  |  |
| 3 MO                             | NTHS                                 | 03/26/2007                | PAPER               |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| Office Action Summary  |   | Applicat                  | Application No. Applicant(s)                |               |              |  |  |
|--|---|---------------------------|---|---------------|--------------|--|--|
|  |   | 10/521,1                  | 84  | JONES, STUART | MICHAEL RUAN |  |  |
|  |   | Examine                   | r   | Art Unit      |              |  |  |
|  |   | James S.                  |   | 3752          |              |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |   |                           |   |               |              |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                           |   |               |              |  |  |
| Status   |   |                           |   |               | •            |  |  |
| 1)⊠  | Responsive to communication(s) filed  | d on <u>13 May 2005</u> . |   |               |              |  |  |
| 2a) <u></u> □  | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |                           |   |               |              |  |  |
| 3)   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                           |   |               |              |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |                           |   |               |              |  |  |
| Disposition of Claims  |   |                           |   |               |              |  |  |
| 4) ⊠ Claim(s) 1-4 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) ☒ Claim(s) 1-4 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or election requirement.   |   |                           |   |               |              |  |  |
| Application  | on Papers   |                           |   |               | ·            |  |  |
| 9) 🗀 🗆   | The specification is objected to by the   | Examiner.                 |   |               |              |  |  |
| 10)⊠ The drawing(s) filed on <u>04 January 2005</u> is/are: a)□ accepted or b)⊠ objected to by the Examiner.   |   |                           |   |               |              |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |                           |   |               |              |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |                           |   |               |              |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                           |   |               |              |  |  |
|  | nder 35 U.S.C. § 119  |                           |   |               |              |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |   |                           |   |               |              |  |  |
| a) ☑ All b) ☐ Some * c) ☐ None of:   |   |                           |   |               |              |  |  |
| <ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>  |   |                           |   |               |              |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  3. State Priority documents have been received in this National Stage   |   |                           |   |               |              |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  |   |                           |   |               |              |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |                           |   |               |              |  |  |
|  |   |                           |   |               |              |  |  |
|  |   |                           |   |               |              |  |  |
| Attachment(s)  |   |                           |   |               |              |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  |   |                           |   |               |              |  |  |
|  | e of Draftsperson's Patent Drawing Review (Pation Disclosure Statement(s) (PTO/SB/08)                           | ГО-948)                   | Paper No(s)/Mail Da 5) Notice of Informal P |               | 4 L          |  |  |
| Paper No(s)/Mail Date <u>6*9/05+1/14/05</u> . 6) Other:  |   |                           |   |               |              |  |  |

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### **DETAILED ACTION**

### **Drawings**

The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the "lid resting in its open position such that it prevents an aperture from coming into contact with a user's top lip" must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

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The drawings are objected to because figure 1 portrays four larger circular entities that do not appear to apertures as claimed due to their apparent portrayal as hemispherical protrusions rather than holes. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 1 recites the limitation "the vicinity" in line 4 of the claim. There is insufficient antecedent basis for this limitation in the claim.

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Claim 1 recites the limitation "the lower end" in line 8 of the claim. There is insufficient antecedent basis for this limitation in the claim.

Claim 1 recites the limitation "the nasal passage" in line 9 of the claim. There is insufficient antecedent basis for this limitation in the claim.

Claim 2 recites the limitation "the top lip" in line 4 of the claim. There is insufficient antecedent basis for this limitation in the claim.

Claim 4 recites the limitation "the septum" in line 3 of the claim. There is insufficient antecedent basis for this limitation in the claim.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1 and 4 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 3,724,459 to Congro

Regarding claim 1, Congro teaches a housing (20) for a fragrance element having at least one aperture (60,62) in side of the housing in a vicinity of one end of the housing, the one end being shaped to abut at the lower end of a users nose at, as per claim 4, the septum of a user's nose. Congro further teaches a means to selectively open and close the aperture with a hinged lid (12).

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### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The rejection of claim 1 above serves as the basis for the following. As per claim 2, a pivotably attached lid, such as taught by Congo would, impede with a user's intent to guard a lip if used in the way depicted to have the lid between the housing and the users face. However, t one having ordinary skill in the art at the time the invention was made would deem it obvious to place the hinge of Congo on the longer side of the housing location since it is known in the art to place a hinge on the longer side of a housing as it would produce a housing whose hinge would be less likely to fail due to extended use. Further, as per claim 3, pivotably attached lids that produce audible clicks are notoriously well known in the art and their use is considered common knowledge. Summarily, it would have been obvious to one having ordinary skill in the art to modify the dispenser of Congro with a side-mounted pivotably attached lid order to have a dispenser where the lid is also a guard, and would be less likely to fail.

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#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure is as follows:

- U.S. Patent No. 2,570,268 to Pace
- U.S. Patent No. 3,133,661 to Schurman et al
- U.S. Patent No. 3,255,750 to Schwartzman et al
- U.S. Patent No. 6,435,179 to Kobel

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James S. Hogan whose telephone number is (571) 272-4902. The examiner can normally be reached on Mon-Fri, 7:00a-4:00p EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kevin P. Shaver can be reached on (571) 272-4720. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JSH 3/12/2007

> SUPERVISORY PATENT/EXAMINER TECHNOLOGY CENTER 3700